



## **Notice of a public meeting of Audit & Governance Committee**

- To:** Councillors Cannon (Chair), Steward (Vice-Chair), Lisle, Cuthbertson, Kramm, Williams and Mason, Mr Mann and Mr Mendus (Independent Members)
- Date:** Wednesday, 19 September 2018
- Time:** 5.30 pm
- Venue:** The George Hudson Board Room - 1st Floor West Offices (F045)

### **AGENDA**

#### **1. Declarations of Interest**

At this point in the meeting, Members are asked to declare:

- any personal interests not included on the Register of Interests
- any prejudicial interests or
- any disclosable pecuniary interests

which they might have in respect of business on this agenda.

#### **2. Public Participation**

It is at this point in the meeting that members of the public who have registered their wish to speak can do so. The deadline for registering is by **5:00pm on Tuesday 18 September 2017**. To register please contact the Democracy Officer for the meeting, on the details at the foot of this agenda.

### **Filming, Recording or Webcasting Meetings**

Please note that, subject to available resources, this meeting will be filmed and webcast, or recorded, including any registered public speakers who have given their permission. This broadcast can be viewed at: <http://www.york.gov.uk/webcasts>.

Residents are welcome to photograph, film or record Councillors and Officers at all meetings open to the press and public. This includes the use of social media reporting, i.e. tweeting. Anyone wishing to film, record or take photos at any public meeting should contact the Democracy Officer (whose contact details are at the foot of this agenda) in advance of the meeting.

The Council's protocol on Webcasting, Filming & Recording of Meetings ensures that these practices are carried out in a manner both respectful to the conduct of the meeting and all those present. It can be viewed at:

[http://www.york.gov.uk/download/downloads/id/11406/protocol\\_for\\_webcasting\\_filming\\_and\\_recording\\_of\\_council\\_meetings\\_20160809.pdf](http://www.york.gov.uk/download/downloads/id/11406/protocol_for_webcasting_filming_and_recording_of_council_meetings_20160809.pdf)

#### **3. Minutes (Pages 1 - 20)**

To approve and sign the minutes of the Audit & Governance Committee meetings held on 20 June and 26 July 2018.

#### **4. Mazars Audit Completion Report (Pages 21 - 34)**

The paper attached at Annex A from Mazars, the Council's external auditors, summarises the outcome of their audit of the Council's 2017/18 annual accounts and their work on the value for money conclusion.

#### **5. Key Corporate Risks Monitor (incl KCR4 - Changing Demographics) (Pages 35 - 66)**

This report presents Members with an update on the key corporate risks (KCRs) for City of York Council (CYC).

#### **6. Internal Audit Follow Up Report (Pages 67 - 74)**

This is the regular six monthly report to the committee setting out progress made by council departments in implementing actions agreed as part of internal audit work.

**7. Audit & Counter Fraud Monitoring Report (Pages 75 - 98)**

This report provides an update on progress made in delivering the internal audit workplan for 2018/19 and on current counter fraud activity.

**8. Information Governance & Complaints (Pages 99 - 116)**

This report provides Members with updates in respect of:

- Information governance performance
- General Data Protection Regulation (GDPR) and Data Protection Act 2018
- NHS Digital Information Governance toolkit – annual assessment
- NHS Digital audit
- Complaints

**9. Forward Plan (Pages 117 - 124)**

Members are asked to consider the future plan of reports expected to be presented to the Committee during the forthcoming year to July 2019.

**10. Urgent Business**

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

Laura Clark

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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.

**This information can be provided in your own language.**

**我們也用您們的語言提供這個信息 (Cantonese)**

**এই তথ্য আপনার নিজের ভাষায় দেয়া যেতে পারে। (Bengali)**

**Ta informacja może być dostarczona w twoim własnym języku. (Polish)**

**Bu bilgiyi kendi dilinizde almanız mümkündür. (Turkish)**

**یہ معلومات آپ کی اپنی زبان (بولی) میں بھی مہیا کی جاسکتی ہیں۔ (Urdu)**

** (01904) 551550**